



#### **Trustworthy Al** Bringing Together Al, Technology, and Ethics

#### **Philipp Slusallek**

German Research Center for Artificial Intelligence (DFKI)

Saarland University

Excellence Cluster Multimodal Computing and Interaction (MMCI)

European High-Level Expert Group on Al





#### **DFKI: An Overview**

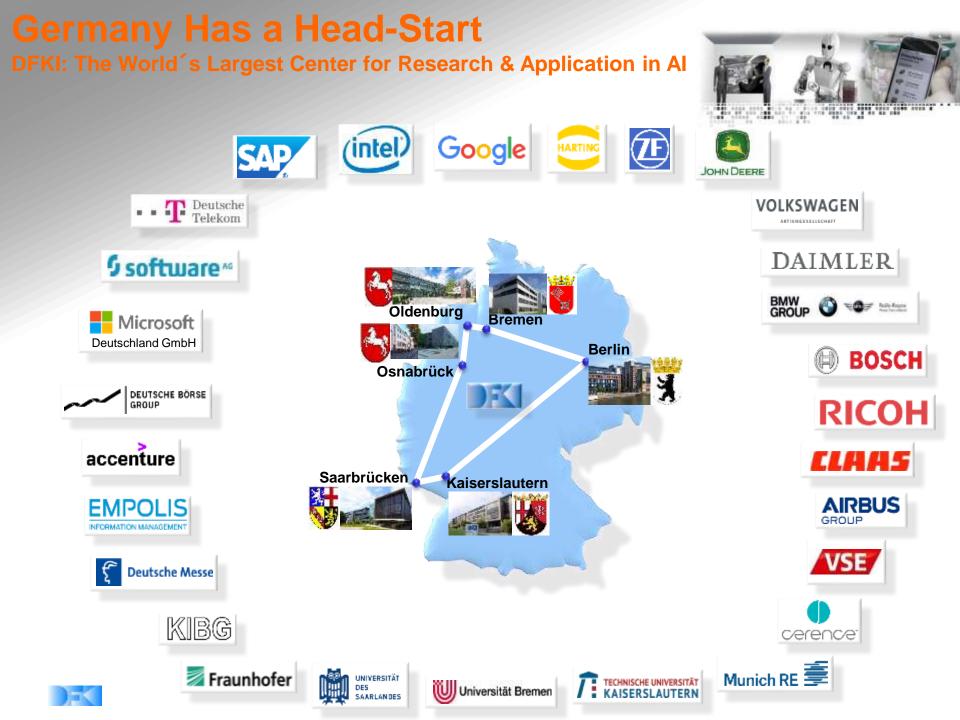


#### **German Research Center for Artificial Intelligence (DFKI)**



- Motto
  - "Computer with Eyes, Ears, and Common Sense"
- Overview
  - Largest AI research center worldwide (founded in 1988)
  - Germany's leading research center for innovative SW technologies
  - 6 sites in Germany
    - Saarbrücken, Bremen, Kaiserslautern; Berlin, Osnabrück, Oldenburg
  - 18 research areas, 10 competence centers, 7 living labs
  - More than 575 core research staff (>1050 total)
  - Revenues of ~50 M€ (2018)
  - More than 90 spin-offs





## CLARE Artificial Intelligence Research in Europe Confederation of Laboratories for

Excellence across all of AI. For all of Europe. With a human-centred focus. **CLAIRE Offices:** (more info at https://claire-ai.org)

Den Haag (HQ), Saarbrücken, •

Rome, Prag, Oslo, Paris, ...

#### CLAIRE Core Team:

- Philipp Slusallek DFKI (DE)
- Holger Hoos • Leiden University (NL)
- Morten Irgens • Oslo Metropolitan University (NO)

#### **CLAIRE Supporters:**

- >3200 AI experts and stakeholders
- Research Orgs: DFKI, FBK, Inria, TNO, ... •
- Al-Orgs: EurAl, AAAI, ESA ٠
- EU-Gov.: BE, CZ, ES, FI, GR, IT, LU, NL, SK •
- WIP: Add Industry & innovation networks

## **CLARE** Confederation of Laboratories for Artificial Intelligence Research in Europe

Excellence across all of AI. For all of Europe. With a human-centred focus. (more info at https://claire-ai.org)

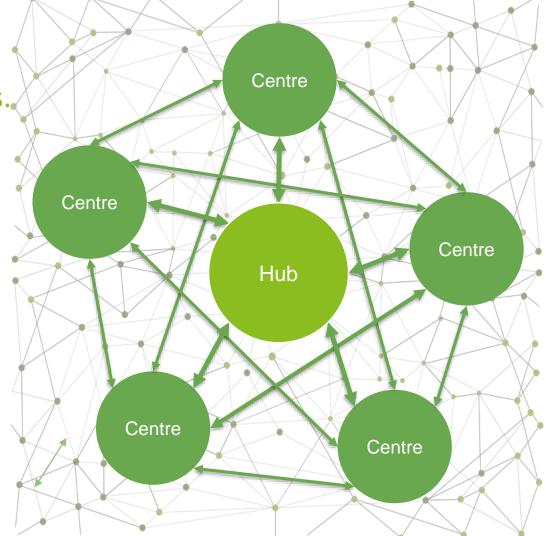
CLAIRE Vision for European AI:

1) Network of Research Labs (~330)

2) Network of Centers of Excellence

3) European Al Hub ("CERN for Al")

- Focal point for exchange and interaction
- World-leading infrastructure & support
- Global attractor for AI talent
- Symbol for European excellence & ambition in Al



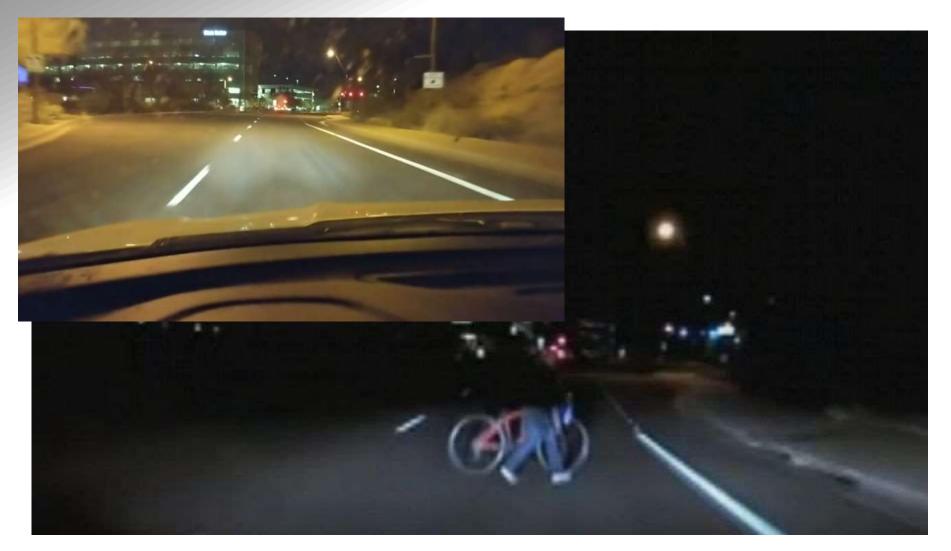


#### Digital Reality for Trustworthy AI: Using Synthetic Data to Train & Validate Autonomous Systems (using autonomous driving as an example)



## Why Do We Need Training and Validation via Synthetic Data?







#### Autonomous Systems: The Problem



- Our World is extremely complex
  - Geometry, Appearance, Motion, Weather, Environment, ...
- Systems must make accurate and reliable decisions
  - Especially in *Critical Situations*
  - Increasingly making use of (deep) machine learning
- Learning of critical situations is essentially impossible
  - Often little (good) data even for "normal" situations
  - Critical situations rarely happen in reality per definition!
  - Extremely high-dimensional models

#### → Goal: Scalable Learning from *synthetic* input data

Continuous benchmarking & validation ("Virtual Crash-Test")



#### Reality

#### • Training and Validation in Reality

- E.g. driving millions of miles to gather data
- Difficult, costly, and non-scalable





### **Digital Reality**

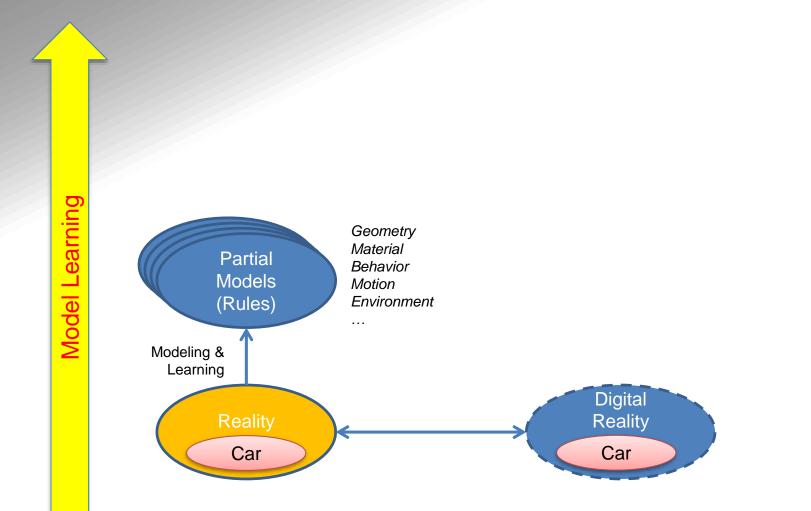
#### • Training and Validation in the Digital Reality

- Arbitrarily scalable (given the right platform)
- But: Where to get the models and the training data from?



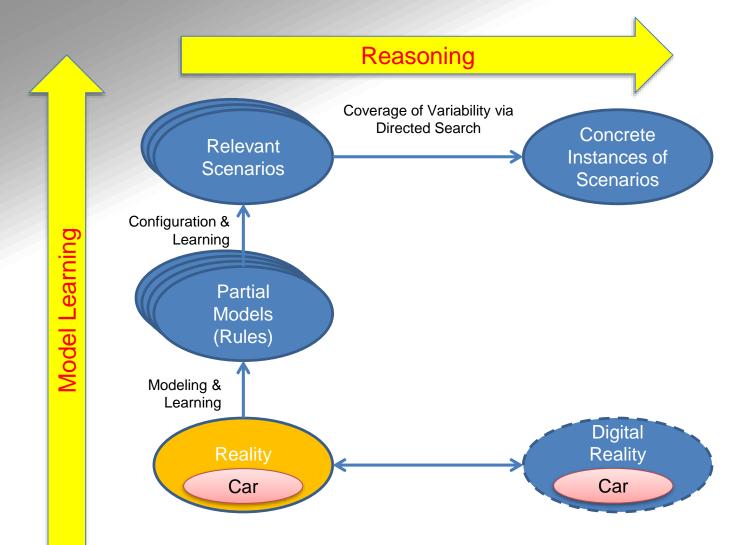


### **Digital Reality: Learning**



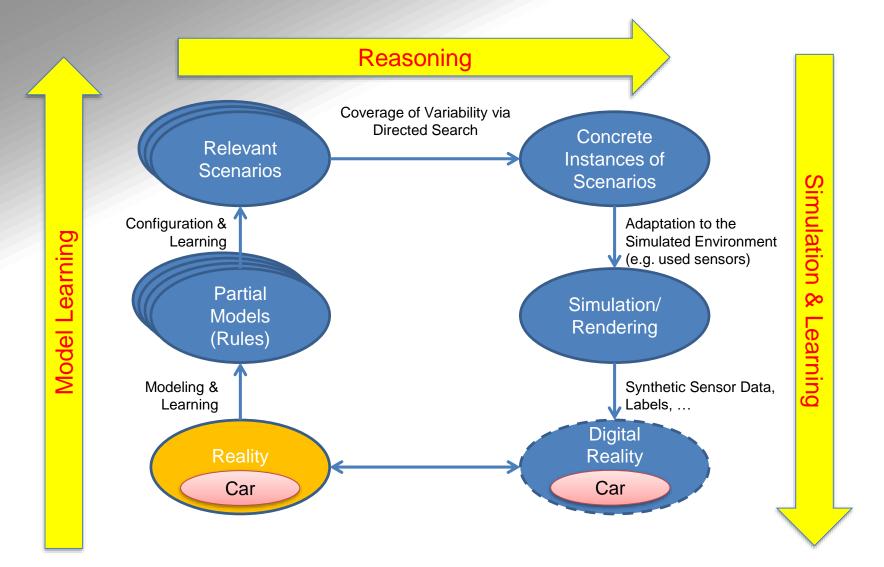
)=<

#### **Digital Reality: Reasoning**



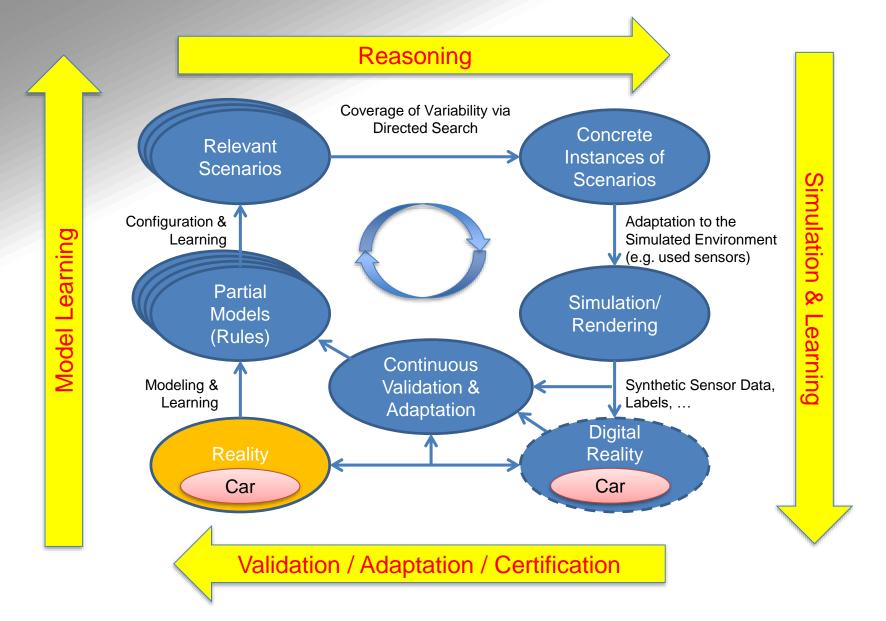
)=<

#### **Digital Reality: Simulation**



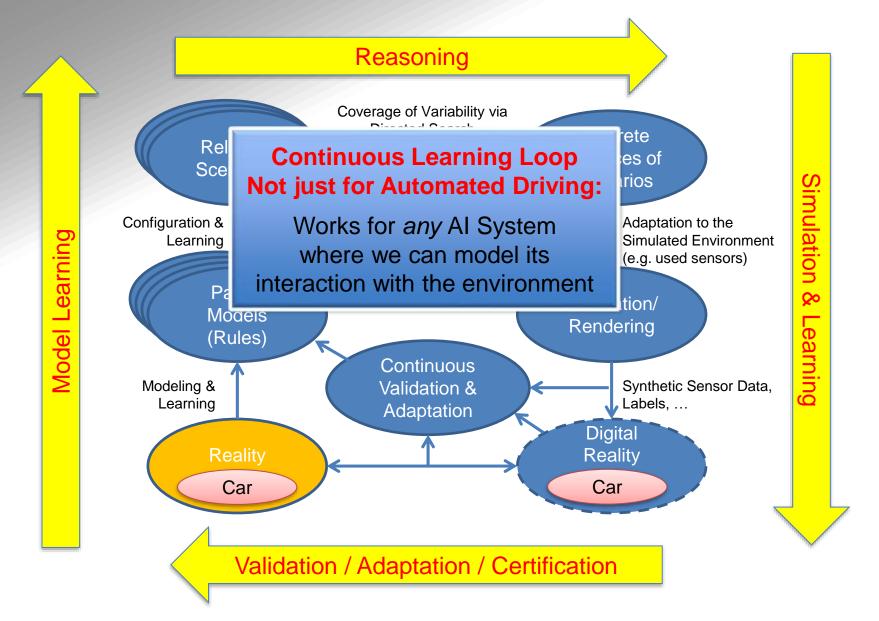


### **Digital Reality: Validation/Adaptation**



)=<

#### **Digital Reality: Continuous Learning**

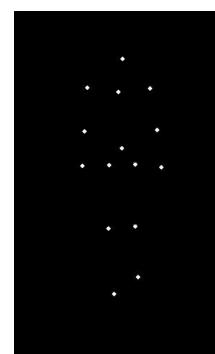


)=<

## **Challenge: Better Models of the World (e.g. Pedestrians)**



- Long history in motion research (>40 years)
  - E.g. Gunnar Johansson's Point Light Walkers (1974)
  - Significant interdisciplinary research (e.g. psychology)
- Humans can easily discriminate different styles
  - E.g. gender, age, weight, mood, …
  - Based on minimal information
- Can we teach machines the same?
  - Detect if pedestrian will cross the street
  - Parameterized motion model & style transfer
  - Predictive models & physical limits

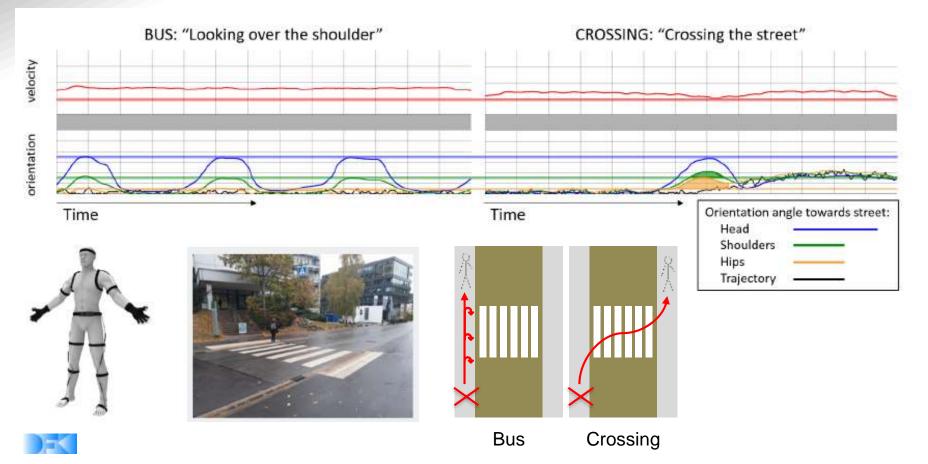




#### **Challenge: Pedestrian Motion**



- Characterizing Pedestrian Motion
  - Clear motion differences when crossing the street



# Challenge: Walidation



- Verification (Top-Down)
  - Strict formal models and exact mathematical proofs
  - But: Limited expressiveness and complexity



- Identifying potential critical situations
- Limiting the search space for testing

#### Validation (Bottom-Up)

- Rich and flexible models close to physical reality
- But: No completeness and only statistical results



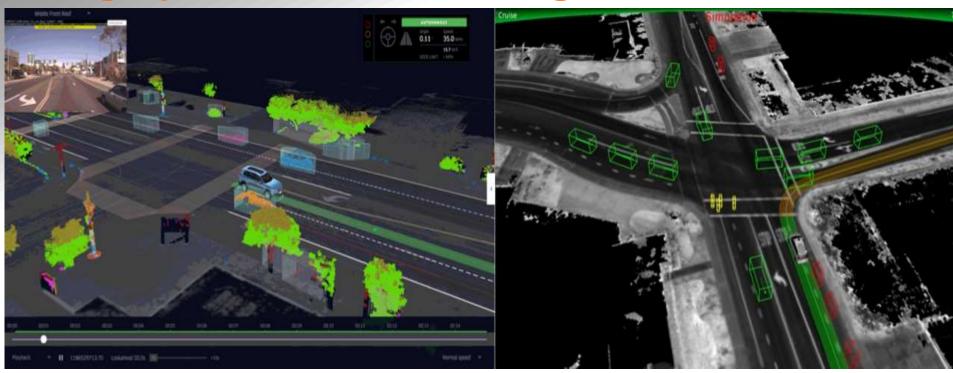


#### **Towards Explainable & Trustworthy Al** Integrating reasoning and learning



#### **Domain: Highly Automated Driving**





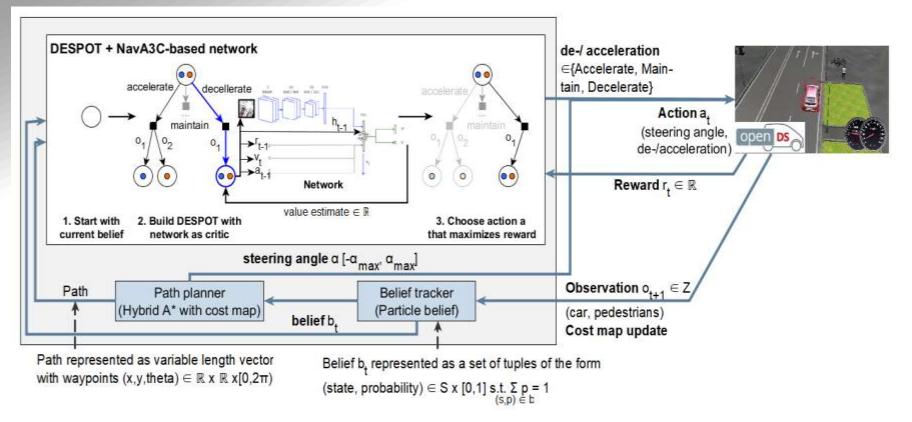
While decision-making and maneuver planning is likely to be rule-based (more general: symbolic), the **perception part** in autonomous driving is expected to be **dependent on Deep Neural Nets**. Also **Interactive machine learning** approaches will contribute to the solution.

At the same time, the ISO 262626 extension **SOTIF (Safety of the Intended Functionality)** is likely to be the standard use in **validation and homologation** of self-driving cars. It requires driving automation functions of self driving cars to be **diagnosable**.



#### **HyLEAP: System Architecture**





- Integrates online approximated POMDP planner DESPOT with deep reinforcement learning network NavA3C
- Trains network to evaluate action policy of planner (hybrid actor-critic system)

➔ Experience-based online navigation action planning ➔ Better XAI

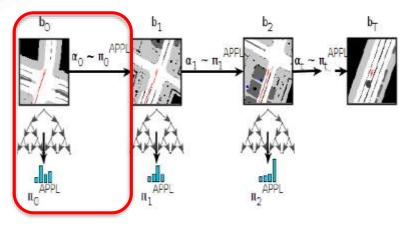
## **HyLEAP** Training

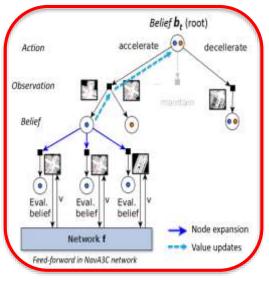


#### For each traffic scene:

(1) For each simulation step t = 0..T: Planning and execution of action by DESPOT with its belief tree construction guided by NavA3C network

(Estimated NavA3C policy value used as heuristic upper bound on reward for belief node exp.)





#### (2) Train / Update NavA3C network on traffic scene

→ Minimize mean squared error of own action policy and cross-entropy loss between both action policies. Update of network weights via SGD over accumulated gradients of loss L

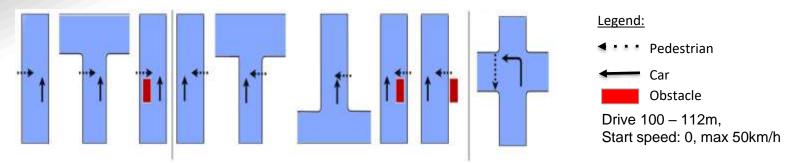


F. Pusse, M. Klusch (2019): Hybrid Online POMDP Planning and Deep Reinforcement Learning for Safer Self-Driving Cars. Proc. 30th IEEE International Intelligent Vehicles Symposium

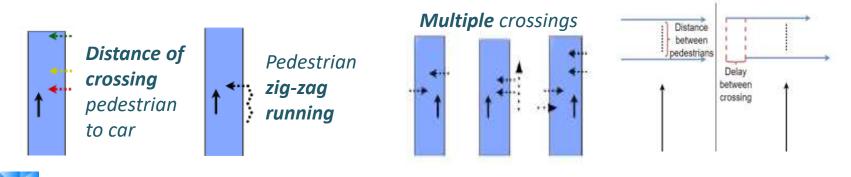
### **Experimental Evaluation**



 Benchmark OpenDS-CTS: More than 37.000 scenes of 9 types of 3.200 real carpedestrian accident scenarios based on German In-Depth Accident Study (GIDAS) simulated in OpenDS; HyLEAP available@github



- DRL network trained over all 9 scenario types on NVIDIA DGX-1 super computer
- Variety of other scenarios for testing:







## (1) HyLEAP safer than both DESPOT and NavA3C in most types of GIDAS accident scenarios

	GIDAS safe	Crashes (%)	Impact speed	Near-misses (%)	# De-/Acc.	TTG (s)	Exec. (s)
HyLEAP	5	3.01	14.27	8.19	22.91	13.23	0.28
IS-DESPOT-p	3	2.95	16.44	6.22	24.90	13.66	0.27
NavA3C-p	1	3.88	19.18	8.27	22.59	13.56	0.01
React. contr.	0	3.29	5.35	6.86	27.59	15.17	0.001

- (2) Averaged over all test scenes
  - DESPOT and HyLEAP are more safe than NavA3C
  - All methods equally competitive regarding comfort of driving and time to goal
- (3) Behavior of methods varies over accident scenarios ...





#### Ethics for Al $\leftrightarrow$ Al for Ethics



#### **Ethics and Al**



- Novel Research Collaborations on Saarland Campus
  ICE: Joint work of Philosophy/Ethics, Psychology, Law, and AI
- DFKI: Projects/proposals focused on Ethics
  - With Prof. Dabrock & with Prof. Nida-Rümelin
- Central Research Questions:
  - How can we embed ethical aspects deeply into AI systems?
  - How can we agree, specify, and ground proper ethics rules?
    - Or can/should we learn them?
  - How can we evaluate how such a system will behave?
    - Need to avoid negative emerging behavior (likely via simulation!)



#### **Take-Aways**



- Digital Reality as a fundamental tool in Al
  - Modeling and simulation even in complex environments
  - Learning and reasoning via feedback loop (e.g. RL)
  - Key element for future AI systems
- Continuous Learning Loop using Synthetic and Real Data
  - Loop of model learning, simulation, training, and validation
  - Validation required to establish trust in AI systems
  - Needs significant HPC for simulations and AI
- Big Challenges Ahead
  - Many promising partial results already but largely islands
  - Requires closer collaboration of industry & academia
  - CLAIRE: Towards large-scale European initiative

→ AI: A Central Component for Many Years to come





